



FOR OFFICE USE

Account No.: _____ Lead Generator Emp. ID: _____

CIF No.: _____ Lead Converter Emp. ID: _____

NOTE (if any): _____

RSP TRANSFER AUTHORIZATION FORM

- Complete this form only if you are transferring funds from an existing Retirement Savings Plan (RSP) account at another Financial Institution to ICICI Bank Canada. If you are opening a new RSP Account, you must also complete an RSP Account Application Form and return both forms to us.
- Return your form(s) by mail to: ICICI Bank Canada, P.O. Box 396, Don Mills, ON M3C 2S7. For questions, please call 1-888-424-2422.
- This form replaces T2033 and should be used when requesting the transfer of funds under Paragraph 146(16)(a) of the Income Tax Act of Canada.
- Interest will commence in accordance with the terms of investment chosen only upon receipt of the transfer from the other institution. The applicable interest rate will be the interest rate prevailing at that point of time.
- Currently, ICICI Bank Canada does not offer Locked-in RSP funds. Please do not fill this application form to transfer Locked-in RSP funds to ICICI Bank Canada.

***Mandatory Field**

PLEASE TELL US ABOUT YOURSELF *

Title * First Name * Middle Name Last Name * Date of Birth * (dd-mm-yyyy)

Mother's Maiden Name* (For your protection, we require this information for future verification) Social Insurance Number *

Home Address (Current) * City* Province* Postal Code*

Home Phone ✦ Cell Phone ✦ **✦ Either Home or Cell Number is mandatory** Business Phone Ext.: Fax Number

Are you a PEP or HIO? * ⇨ [Click here for the definition of PEP and HIO](#) _____ Email Address

Nature of Profession * Industry * Title *

Primary Business Function * ⇨ [Click here to select, copy and paste the Primary Business Function in the field below; if not in the list, please enter below.](#)

TRANSFER INFORMATION *

I wish to transfer (please select one):
 All funds in my existing RSP in cash* **OR** \$ _____ from my existing RSP in cash** from the following financial institution:

* All of your investments will be liquidated and converted to cash before they are sent to ICICI Bank Canada.
 ** The investments you specify will be liquidated and converted to cash before they are sent to ICICI Bank Canada.

Spousal RSP (please check one): Yes No

Reference / Plan Number Name of Plan

Issuer of Plan – Financial Institution Maturity Date (if applicable) (dd-mm-yyyy)

Street Address of Issuer City Province Postal Code

Please transfer my RSP to: ICICI Bank Canada, P.O. Box 396, Don Mills, ON M3C 2S7 Existing ICICI Bank Canada RSP Savings Account Number (if applicable)

Investment Instructions ⇨ RSP Savings Account **OR** RSP GIC Maturity: ____ year(s)

On maturity, the maturity value will be auto-renewed for the same tenor as the original GIC at the prevailing interest rate at that point of time. I authorize ICICI Bank Canada to update my profile/records with the information provided on this form.

For withdrawal of your RSP GIC, please visit one of our branches or call us toll-free at 1-888-424-2422 specifying reason for withdrawal.

X _____ **X** _____ Date (dd-mm-yyyy)

Annuitant's Signature **Initials** **Date**

FINANCIAL INSTITUTION USE ONLY

This section to be completed by the Transferor (other financial institution).

Amount transferred: \$ _____ from RSP identified above. Spousal RSP (please check one): Yes No

Name of contributor spouse: _____ SIN of contributor spouse: _____

Locked-in Funds: No Yes, locked-in confirmation attached. Amount of locked-in funds: \$ _____

Applicable Pension Legislation: Federal Pension Benefits Standards Act ("PBSA") Provincial (specify): _____

Authorized Signature: **X** _____ Position or Office: _____ Date: _____ (dd-mm-yyyy)

Please check if institution requires a copy returned.

This section to be completed by the Transferee (ICICI Bank Canada) upon receipt of the funds.

We agree to the above for a direct transfer of property in the amount of \$ _____. We have received the property and will credit it to the Annuitant under the Plan. No receipt for tax purposes will be issued for the amount transferred.

Authorized Signature: **X** _____ Position or Office: _____ Date: _____ (dd-mm-yyyy)

PEP and HIO Definition

Politically Exposed Person (PEP) is defined as a person who holds or has held one of the following offices or positions in or on behalf of a foreign or Canadian government:

- head of state or head of government;
- governor general or lieutenant governor;
- member of the executive council of government or member of a legislature;
- deputy minister or equivalent rank;
- ambassador, or attaché or counsellor of an ambassador;
- military officer with a rank of general or above;
- president of a state-owned company or a state-owned bank;
- head of a government agency;
- judge of a supreme court, constitutional court or other court of last resort;
- leader or president of a political party represented in a legislature; and
- mayor

Head of an International Organization (HIO) is a person who is either the head of:

1. an international organization established by the governments of states; or
2. of an institution established by an international organization.

An international organization is an organization set up by the governments of more than one country. Once a person is no longer the head of an international organization or the head of an institution established by an international organization, that person is no longer a HIO.

Family members – certain family members (listed below) of a PEP or a HIO are also considered as PEPs and HIOs.

- their spouse or common-law partner;
- their child;
- their mother or father;
- the mother or father of their spouse or common-law partner; and
- a child of their mother or father (sibling).

Close associate – a close associate can be an individual who is closely connected to a PEP or HIO for personal or business reasons and which includes:

- business partners with, or who beneficially owns or controls a business with, a PEP or HIO;
- in a romantic relationship with a PEP or HIO, such as a boyfriend, girlfriend or mistress;
- involved in financial transactions with a PEP or a HIO;
- a prominent member of the same political party or union as a PEP or HIO;
- serving as a member of the same board as a PEP or HIO; or
- closely carrying out charitable works with a PEP or HIO.

If you or a family member or a close associate of yours holds any of the prescribed positions then please select:

- a) **“Foreign”** – if the position is ever held in a foreign government office.
- b) **“Domestic”** – if the position is held in a Canadian (federal, provincial, territorial) government office in last 5 years.
- c) **“HIO”** – if the position of head of international organization is held currently.

Else please select **“Not Applicable”**

INSTRUCTIONS:

1. **Locate the Primary Business Function below and place the cursor at the beginning of the Primary Business Function**
2. **Highlight and copy the Primary Business Function**
3. **Click on the button “Return to Application” on the right side of this page**
4. **Once back in the Application, place the cursor on the Primary Business Function field and paste it**

LIST OF PRIMARY BUSINESS FUNCTIONS FOR EACH INDUSTRY

Auto Dealer

Boat Dealer
Car/Truck Dealer
Rental Companies

Brokerage

Brokerage (Regulated)
Insurance Brokerage
Mortgage Brokerage
Real Estate Brokerage

Casino

Casino
Casino, Online

Charity, Registered

Charity, Registered

Charity, Unregistered

Charity, Unregistered

Civil Aviation

Airline

Consulting Firm

Consulting Firm
Engineering Consulting
Financial Intermediary Structures
Immigration Consulting
Import/Export Consulting
IT Consulting
Management Consultancy
Manpower Provider

Education

College
School
University

Financial Institutions (Regulated)

Bank
Cooperative Credit Association
Cooperative Retail Association
Credit Union
Insurance Company

Financial Services

Cheque Casher
Currency Exchange Houses
Loan Arranging Business
Merchants of stored valued cards
Money Remittance Business
Money Service Business (MSB)
Small Loans Provider
Trusts
White label ATMs

Government Services

City Counselor
City Employee
Community and Social Service Worker
Fire Fighter
Member of Parliament
Police Officer

Health Care

Clinics
Hospitals
Laboratories, Diagnostic centers

Holding and Trust Companies

Accounting Firm holding accounts for clients
Holding Company
Intermediary structures
Investment Company
Law Firm holding accounts for clients
Trust Company

Hospitality and Tourism

Hotels
Travel Agency

Information Technology

Software Company
IT Enabled Services

Legal, Accounting, Tax

Accounting Company
Tax Company

Not-for-Profit Organizations

Not-for-profit Organization

Off-Shore Company

Off-shore Companies

Other

Accountant
Auto Sales person
Cab Driver
Homemaker
Immigration Consultant
IT Consultant
Real Estate Agent
Retired
Salesperson working on commission
Tax Consultant
Taxi Driver
Truck Driver
Unemployed

Personal Services

Beauty salons
Landscaping
Private Health Care Provider

Precious Metals and Stones

Jewellery Dealer
Precious Metals Dealer

Retail Store

Convenience Store
Electronics, Retail
Food and entertainment
Grocery Store
High-end or Luxury products business
Lotto Shops
Pawnbrokers
Restaurant
Retail / Wholesale shops
Retail shops/outlets

Small/Medium Enterprise

Accounting Firm
Antiques Dealer
Art Dealer Auction House
Construction
Courier Service
Delivery Service
Family Owned Holding Company
Import/Export
Investment company
(Personal/family)
Oil and Gas (stations and providers)
Pharmaceutical
Real Estate Agencies
Renovations
Transportation Company
Travel Agency
Trust Company (Personal/family)