

TFSA SAVINGS ACCOUNT / GIC BENEFICIARY AND SUCCESSOR HOLDER DESIGNATION

Please note that the designation of a Beneficiary or Successor Holder to a TFSA Savings Account / GIC is subject to applicable law. It is advisable that you discuss this designation with your legal and/or tax advisor. If no Beneficiary or Successor Holder is designated, all amounts under your account will be payable to your estate and distributed in accordance with applicable law.

You can also complete, print, sign and mail to: **ICICI Bank Canada, P.O. Box 396, Don Mills, ON M3C 2S7**

*** Mandatory field**

APPLICANT INFORMATION																	
Title *		First Name *		Middle Name		Last Name *		CIF Number									
								<table border="1" style="width: 100%; height: 20px;"> <tr> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> </tr> </table>									
Mother's Maiden Name * (For your protection, we require this information for future verification)						Social Insurance Number *		Date of Birth * (dd-mm-yyyy)									
Home Address *	Street No. *	Street Name *				Apt / Unit #		Length of Time at Current Address									
	City *	Province *			Postal Code *		Country										
Home Phone Number †		Cell Phone Number †		† Either Home or Cell Number is mandatory		Email Address *											
001																	
Mailing Address (if different from home address)				City		Province		Postal Code	Country								
Are you a PEP or HIO? * ⇨ Click here for the definition of PEP and HIO																	
Nature of Profession*		Industry*			Title*												
Primary Business Function* ⇨ Click here to select, copy and paste the Primary Business Function in the field below; if not in the list, please enter below.																	
Company Name						Business Phone Number		Length of Time Employed									

FOR AN EXISTING CUSTOMER OF ICICI BANK CANADA (new customer can skip to the next section)	
If known, please tell us the Account Number for which you wish to add or change a Beneficiary and/or Successor Holder. This information is necessary in order to identify the applicable account.	
Account Number: <table border="1" style="display: inline-table; width: 100px; height: 20px;"></table>	Account Type: <input type="checkbox"/> TFSA Savings OR <input type="checkbox"/> TSFA GIC

SUCCESSOR HOLDER INFORMATION *	
I elect that my surviving spouse or common-law partner (as each term is recognized for purposes of the Income Tax Act (Canada)) becomes the Successor Holder in the event of my death before termination of the Account, and confirm that my Successor Holder has the unconditional right to revoke any Beneficiary designation made by me. I acknowledge and understand that any prior Successor Holder designation will be automatically revoked by this designation.	
Spouse / Common Law Partner's First Name * Middle Name Last Name *	Social Insurance Number *

BENEFICIARY INFORMATION *	
I designate the person below as Beneficiary to receive, in the event of my death and in the absence of a Successor Holder, any property under the Account. I hereby revoke any prior Beneficiary designation made by me with respect to this account. The Beneficiary designated herein must survive me and accept this designation in order to receive the amounts payable under this plan. If no Beneficiary designated herein survives me or accepts this designation, the proceeds of this plan shall be payable to my estate and distributed in accordance with applicable law.	
Beneficiary First Name * Middle Name Last Name *	Relationship to Applicant *

I authorize ICICI Bank Canada to update my profile/records with the information provided on this form.

X _____ Applicant's Signature	X _____ Initials	_____ Date (dd-mm-yyyy)
X _____ Witness's Signature (Other than Beneficiary and Successor Holder; must be age of majority)	_____ Witness Name	_____ Date (dd-mm-yyyy)

FOR OFFICE USE									
Notes:									
Prepared by: *	<table style="width: 100%;"> <tr> <td style="width: 25%;"></td> <td style="width: 25%;"></td> <td style="width: 25%; text-align: center;">X</td> <td style="width: 25%;"></td> </tr> <tr> <td>Maker Employee ID</td> <td>Maker Employee Name</td> <td>Maker Employee Signature</td> <td>Date (dd-mm-yyyy)</td> </tr> </table>			X		Maker Employee ID	Maker Employee Name	Maker Employee Signature	Date (dd-mm-yyyy)
		X							
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Verified by: *	<table style="width: 100%;"> <tr> <td style="width: 25%;"></td> <td style="width: 25%;"></td> <td style="width: 25%; text-align: center;">X</td> <td style="width: 25%;"></td> </tr> <tr> <td>Verifier Employee ID</td> <td>Verifier Employee Name</td> <td>Verifier Employee Signature</td> <td>Date (dd-mm-yyyy)</td> </tr> </table>			X		Verifier Employee ID	Verifier Employee Name	Verifier Employee Signature	Date (dd-mm-yyyy)
		X							
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PEP and HIO Definition

Politically Exposed Person (PEP) is defined as a person who holds or has held one of the following offices or positions in or on behalf of a foreign or Canadian government:

- head of state or head of government;
- governor general or lieutenant governor;
- member of the executive council of government or member of a legislature;
- deputy minister or equivalent rank;
- ambassador, or attaché or counsellor of an ambassador;
- military officer with a rank of general or above;
- president of a state-owned company or a state-owned bank;
- head of a government agency;
- judge of a supreme court, constitutional court or other court of last resort;
- leader or president of a political party represented in a legislature; and
- mayor

Head of an International Organization (HIO) is a person who is either the head of:

1. an international organization established by the governments of states; or
2. of an institution established by an international organization.

An international organization is an organization set up by the governments of more than one country. Once a person is no longer the head of an international organization or the head of an institution established by an international organization, that person is no longer a HIO.

Family members – certain family members (listed below) of a PEP or a HIO are also considered as PEPs and HIOs.

- their spouse or common-law partner;
- their child;
- their mother or father;
- the mother or father of their spouse or common-law partner; and
- a child of their mother or father (sibling).

Close associate – a close associate can be an individual who is closely connected to a PEP or HIO for personal or business reasons and which includes:

- business partners with, or who beneficially owns or controls a business with, a PEP or HIO;
- in a romantic relationship with a PEP or HIO, such as a boyfriend, girlfriend or mistress;
- involved in financial transactions with a PEP or a HIO;
- a prominent member of the same political party or union as a PEP or HIO;
- serving as a member of the same board as a PEP or HIO; or
- closely carrying out charitable works with a PEP or HIO.

If you or a family member or a close associate of yours holds any of the prescribed positions then please select:

- a) **"Foreign"** – if the position is ever held in a foreign government office.
- b) **"Domestic"** – if the position is held in a Canadian (federal, provincial, territorial) government office in last 5 years.
- c) **"HIO"** – if the position of head of international organization is held currently.

Else please select **"Not Applicable"**

INSTRUCTIONS:

1. **Locate the Primary Business Function below and place the cursor at the beginning of the Primary Business Function**
2. **Highlight and copy the Primary Business Function**
3. **Click on the button "Return to Application" on the right side of this page**
4. **Once back in the Application, place the cursor on the Primary Business Function field and paste it**

LIST OF PRIMARY BUSINESS FUNCTIONS FOR EACH INDUSTRY

Auto Dealer

Boat Dealer
Car/Truck Dealer
Rental Companies

Brokerage

Brokerage (Regulated)
Insurance Brokerage
Mortgage Brokerage
Real Estate Brokerage

Casino

Casino
Casino, Online

Charity, Registered

Charity, Registered

Charity, Unregistered

Charity, Unregistered

Civil Aviation

Airline

Consulting Firm

Consulting Firm
Engineering Consulting
Financial Intermediary Structures
Immigration Consulting
Import/Export Consulting
IT Consulting
Management Consultancy
Manpower Provider

Education

College
School
University

Financial Institutions (Regulated)

Bank
Cooperative Credit Association
Cooperative Retail Association
Credit Union
Insurance Company

Financial Services

Cheque Casher
Currency Exchange Houses
Loan Arranging Business
Merchants of stored valued cards
Money Remittance Business
Money Service Business (MSB)
Small Loans Provider
Trusts
White label ATMs

Government Services

City Counselor
City Employee
Community and Social Service Worker
Fire Fighter
Member of Parliament
Police Officer

Health Care

Clinics
Hospitals
Laboratories, Diagnostic centers

Holding and Trust Companies

Accounting Firm holding accounts for clients
Holding Company
Intermediary structures
Investment Company
Law Firm holding accounts for clients
Trust Company

Hospitality and Tourism

Hotels
Travel Agency

Information Technology

Software Company
IT Enabled Services

Legal, Accounting, Tax

Accounting Company
Tax Company

Not-for-Profit Organizations

Not-for-profit Organization

Off-Shore Company

Off-shore Companies

Other

Accountant
Auto Sales person
Cab Driver
Homemaker
Immigration Consultant
IT Consultant
Real Estate Agent
Retired
Salesperson working on commission
Tax Consultant
Taxi Driver
Truck Driver
Unemployed

Personal Services

Beauty salons
Landscaping
Private Health Care Provider

Precious Metals and Stones

Jewellery Dealer
Precious Metals Dealer

Retail Store

Convenience Store
Electronics, Retail
Food and entertainment
Grocery Store
High-end or Luxury products business
Lotto Shops
Pawnbrokers
Restaurant
Retail / Wholesale shops
Retail shops/outlets

Small/Medium Enterprise

Accounting Firm
Antiques Dealer
Art Dealer Auction House
Construction
Courier Service
Delivery Service
Family Owned Holding Company
Import/Export
Investment company
(Personal/family)
Oil and Gas (stations and providers)
Pharmaceutical
Real Estate Agencies
Renovations
Transportation Company
Travel Agency
Trust Company (Personal/family)