



# RSP TRANSFER AUTHORIZATION FORM

FOR OFFICE USE	
Account No.: _____	Lead Generator Emp. ID: _____
CIF No.: _____	Lead Converter Emp. ID: _____
NOTE (if any): _____	

- Complete this form only if you are transferring funds from an existing Retirement Savings Plan (RSP) account at another Financial Institution to ICICI Bank Canada. If you are opening a new RSP Account, you must also complete an RSP Account Application Form and return both forms to us.
- Return your form(s) by mail to: ICICI Bank Canada, P.O. Box 396, Don Mills, ON M3C 2S7. For questions, please call 1-888-424-2422.
- This form replaces T2033 and should be used when requesting the transfer of funds under Paragraph 146(16)(a) of the Income Tax Act of Canada.
- Interest will commence in accordance with the terms of investment chosen only upon receipt of the transfer from the other institution. The applicable interest rate will be the interest rate prevailing at that point of time.
- Currently, ICICI Bank Canada does not offer Locked-in RSP funds. Please do not fill this application form to transfer Locked-in RSP funds to ICICI Bank Canada.

**\*Mandatory Field**

PLEASE TELL US ABOUT YOURSELF *				
Title *	First Name *	Middle Name	Last Name *	Date of Birth * (dd-mm-yyyy)
Mother's Maiden Name* (For your protection, we require this information for future verification)				Social Insurance Number *
Home Address * Please fill the address where you presently live. This address cannot be a postal installation i.e. PO Box, RR, Site, Comp or GD/General Delivery address.				
City*			Province*	Postal Code*
Home Phone ⇄	Cell Phone ⇄	✦ Either Home or Cell Number is mandatory	Business Phone Ext.:	Fax Number
Are you a PEP or HIO? * ⇄ <a href="#">Click here for the definition of PEP and HIO</a>			Email Address	
Nature of Profession *	Industry *	Title *		
Primary Business Function * ⇄ <a href="#">Click here to select, copy and paste the Primary Business Function in the field below; if not in the list, please enter below.</a>				

TRANSFER INFORMATION *				
I wish to transfer (please select one):				
<input type="checkbox"/> All funds in my existing RSP in cash* <b>OR</b> <input type="checkbox"/> \$ _____ from my existing RSP in cash** from the following financial institution:				
* All of your investments will be liquidated and converted to cash before they are sent to ICICI Bank Canada. ** The investments you specify will be liquidated and converted to cash before they are sent to ICICI Bank Canada.				
Spousal RSP (please check one): <input type="checkbox"/> Yes <input type="checkbox"/> No				
Reference / Plan Number		Name of Plan		
Issuer of Plan – Financial Institution			Maturity Date (if applicable) (dd-mm-yyyy)	
Street Address of Issuer		City	Province	Postal Code
Please transfer my RSP to: ICICI Bank Canada, P.O. Box 396, Don Mills, ON M3C 2S7			Existing ICICI Bank Canada RSP Savings Account Number (if applicable)	
<b>Investment Instructions</b> ⇄ <input type="checkbox"/> RSP Savings Account <b>OR</b> <input type="checkbox"/> RSP GIC		Maturity: ____ year(s)		
On maturity, the maturity value will be auto-renewed for the same tenor as the original GIC at the prevailing interest rate at that point of time. I authorize ICICI Bank Canada to update my profile/records with the information provided on this form.				
For withdrawal of your RSP GIC, please visit one of our branches or call us toll-free at 1-888-424-2422 specifying reason for withdrawal.				
<b>X</b> _____		<b>X</b> _____		_____
Annuitant's Signature		Initials		Date (dd-mm-yyyy)

FINANCIAL INSTITUTION USE ONLY	
<b>This section to be completed by the Transferor (other financial institution).</b>	
Amount transferred: \$ _____ from RSP identified above. Spousal RSP (please check one): <input type="checkbox"/> Yes <input type="checkbox"/> No	
Name of contributor spouse: _____ SIN of contributor spouse: _____	
Locked-in Funds: <input type="checkbox"/> No <input type="checkbox"/> Yes, locked-in confirmation attached. Amount of locked-in funds: \$ _____	
Applicable Pension Legislation: <input type="checkbox"/> Federal Pension Benefits Standards Act ("PBSA") <input type="checkbox"/> Provincial (specify): _____	
Authorized Signature: <b>X</b> _____	Position or Office: _____ Date: _____ (dd-mm-yyyy)
<input type="checkbox"/> Please check if institution requires a copy returned.	
<b>This section to be completed by the Transferee (ICICI Bank Canada) upon receipt of the funds.</b>	
We agree to the above for a direct transfer of property in the amount of \$ _____. We have received the property and will credit it to the Annuitant under the Plan. No receipt for tax purposes will be issued for the amount transferred.	
Authorized Signature: <b>X</b> _____	Position or Office: _____ Date: _____ (dd-mm-yyyy)

## PEP and HIO Definition

**Politically Exposed Person (PEP)** is defined as a person who holds or has held one of the following offices or positions in or on behalf of a foreign or Canadian government:

- head of state or head of government;
- governor general or lieutenant governor;
- member of the executive council of government or member of a legislature;
- deputy minister or equivalent rank;
- ambassador, or attaché or counsellor of an ambassador;
- military officer with a rank of general or above;
- president of a state-owned company or a state-owned bank;
- head of a government agency;
- judge of a supreme court, constitutional court or other court of last resort;
- leader or president of a political party represented in a legislature; and
- mayor

**Head of an International Organization (HIO)** is a person who is either the head of:

1. an international organization established by the governments of states; or
2. of an institution established by an international organization.

An international organization is an organization set up by the governments of more than one country. Once a person is no longer the head of an international organization or the head of an institution established by an international organization, that person is no longer a HIO.

**Family members** – certain family members (listed below) of a PEP or a HIO are also considered as PEPs and HIOs.

- their spouse or common-law partner;
- their child;
- their mother or father;
- the mother or father of their spouse or common-law partner; and
- a child of their mother or father (sibling).

**Close associate** – a close associate can be an individual who is closely connected to a PEP or HIO for personal or business reasons and which includes:

- business partners with, or who beneficially owns or controls a business with, a PEP or HIO;
- in a romantic relationship with a PEP or HIO, such as a boyfriend, girlfriend or mistress;
- involved in financial transactions with a PEP or a HIO;
- a prominent member of the same political party or union as a PEP or HIO;
- serving as a member of the same board as a PEP or HIO; or
- closely carrying out charitable works with a PEP or HIO.

If you or a family member or a close associate of yours holds any of the prescribed positions then please select:

- a) **“Foreign”** – if the position is ever held in a foreign government office.
- b) **“Domestic”** – if the position is held in a Canadian (federal, provincial, territorial) government office in last 5 years.
- c) **“HIO”** – if the position of head of international organization is held currently.

Else please select **“Not Applicable”**

## INSTRUCTIONS:

1. **Locate the Primary Business Function below and place the cursor at the beginning of the Primary Business Function**
2. **Highlight and copy the Primary Business Function**
3. **Click on the button “Return to Application” on the right side of this page**
4. **Once back in the Application, place the cursor on the Primary Business Function field and paste it**

## LIST OF PRIMARY BUSINESS FUNCTIONS FOR EACH INDUSTRY

### Auto Dealer

Boat Dealer  
Car/Truck Dealer  
Rental Companies

### Brokerage

Brokerage (Regulated)  
Insurance Brokerage  
Mortgage Brokerage  
Real Estate Brokerage

### Casino

Casino  
Casino, Online

### Charity, Registered

Charity, Registered

### Charity, Unregistered

Charity, Unregistered

### Civil Aviation

Airline

### Consulting Firm

Consulting Firm  
Engineering Consulting  
Financial Intermediary Structures  
Immigration Consulting  
Import/Export Consulting  
IT Consulting  
Management Consultancy  
Manpower Provider

### Education

College  
School  
University

### Financial Institutions (Regulated)

Bank  
Cooperative Credit Association  
Cooperative Retail Association  
Credit Union  
Insurance Company

### Financial Services

Cheque Casher  
Currency Exchange Houses  
Loan Arranging Business  
Merchants of stored valued cards  
Money Remittance Business  
Money Service Business (MSB)  
Small Loans Provider  
Trusts  
White label ATMs

### Government Services

City Counselor  
City Employee  
Community and Social Service Worker  
Fire Fighter  
Member of Parliament  
Police Officer

### Health Care

Clinics  
Hospitals  
Laboratories, Diagnostic centers

### Holding and Trust Companies

Accounting Firm holding accounts for clients  
Holding Company  
Intermediary structures  
Investment Company  
Law Firm holding accounts for clients  
Trust Company

### Hospitality and Tourism

Hotels  
Travel Agency

### Information Technology

Software Company  
IT Enabled Services

### Legal, Accounting, Tax

Accounting Company  
Tax Company

### Not-for-Profit Organizations

Not-for-profit Organization

### Off-Shore Company

Off-shore Companies

### Other

Accountant  
Auto Sales person  
Cab Driver  
Homemaker  
Immigration Consultant  
IT Consultant  
Real Estate Agent  
Retired  
Salesperson working on commission  
Tax Consultant  
Taxi Driver  
Truck Driver  
Unemployed

### Personal Services

Beauty salons  
Landscaping  
Private Health Care Provider

### Precious Metals and Stones

Jewellery Dealer  
Precious Metals Dealer

### Retail Store

Convenience Store  
Electronics, Retail  
Food and entertainment  
Grocery Store  
High-end or Luxury products business  
Lotto Shops  
Pawnbrokers  
Restaurant  
Retail / Wholesale shops  
Retail shops/outlets

### Small/Medium Enterprise

Accounting Firm  
Antiques Dealer  
Art Dealer Auction House  
Construction  
Courier Service  
Delivery Service  
Family Owned Holding Company  
Import/Export  
Investment company  
(Personal/family)  
Oil and Gas (stations and providers)  
Pharmaceutical  
Real Estate Agencies  
Renovations  
Transportation Company  
Travel Agency  
Trust Company (Personal/family)